

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:

WENHUA LIN

Serial No.: 09/991,893

Filed: November 5, 2001

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)  
) Group No.: 2872  
)  
) Examiner: Unknown  
)  
) Docket No. LIGHT2180  
)

For: **COMPACT OPTICAL EQUALIZER**

**CERTIFICATION UNDER 37 CFR § 1.8**

I hereby certify that the documents referred to, as enclosed herein are being deposited with the United States Postal Service as first class mail on this date 24 January 2002, in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231

24 January 2002  
Date

Terrance A. Meador  
Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicant hereby cites the documents listed in accompany Form PTO-1449 with respect to the above-referenced patent application under the provisions of 37 CFR 1.97(b). Copies of the documents are attached.

The Examiner is respectfully requested to make the listed documents of record in connection with the prosecution of the subject application.

Respectfully submitted,

Date: 24 January 2002

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as needed)				<b>COMPLETE IF KNOWN</b>	
				Application Number	09/991,893
				Filing Date	11/05/01
				First Named Inventor	Lin
				Group Art Unit	2872
				Examiner Name	Unknown
SHEET	1	OF	6	Docket Number	LIGHT2180

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
	1	4,618,210		Kondo	10-21-1986	
	2	4,747,654		Yi-Yan	03-31-1988	
	3	4,813,757		Sakano et al.	03-21-1989	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
	18	EPO	0647861A1		AT&T Corp.	12.04.1995		
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**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
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Examiner Name	Unknown				
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SHEET	2	OF	6	Docket Number	LIGHT2180

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
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Examiner Signature	Date Considered
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